

## Sabah TLAS Audit Report Principles 5 & 6

<b>Organisation</b>	EF Enterprise (Sole Proprietorship)	<b>Reference #</b>	GFSSBH 004-592
<b>Contact Person</b>	Loong Nyuk Moi (Director)	<b>Audit Date</b>	18/12/2023
<b>Office Address</b>	P.O. Box 22698, Luyang, 88786 Kota Kinabalu, Sabah, Malaysia.	<b>Date close out</b>	-
<b>Mill Address</b>	-	<b>Telephone</b>	+6013 868 8998
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		<b>Approved By</b>	Dr. Kevin Grace

### Statements

<b>Audit Statement #</b>	GFS 257 WTP		
<b>Issuance Date</b>	26 December 2023	<b>Expiration Date</b>	25 December 2024

### Assessment Details

<b>Company Type</b>	Exporter
<b>Scope of Assessment</b>	Sabah TLAS P5-6: FDS(KK)(E)088/2019
<b>Product Group</b>	Sawn timber, Plywood
<b>Source of Material</b>	Sabah, Malaysia.
<b>Species</b>	<i>Shorea spp.</i> (Red seraya, Yellow seraya), <i>Parashorea spp.</i> (White seraya), Other timber (OT)
<b>Lead Assessor</b>	Brandon James
<b>Assessor(s)</b>	Sandra George
<b>FDS Staff</b>	-

### Terms of Assessment

The scope of the evaluation is to verify compliance of EF Enterprise to the criteria within the Sabah Timber Legality Assurance System (TLAS) Principle 5 - Mill Operations and Principle 6 - Trade and Customs standards. Compliance is defined when the organization demonstrates that there is no non-compliance to any applicable criteria. Non-compliance to the Sabah Legality Standard occurs when the organization does not comply with 1 or more criteria. Non-compliance must be formally addressed prior to certification or within 2 months following a surveillance assessment.

### Limitations of Assessment

The information presented in this report is based on documentation and other information presented by the client and observations made during the field verification under the assessment. Auditor's reporting of information presented by the client are taken as accurate, unless evaluated differently through the observations made during the assessment. All information presented in the report is accurate and complete to the best of knowledge of GFS.

**Background Information:**

EF Enterprise is a sole proprietor; thus, does not require to register under the Companies Commission Malaysia (SSM). EF Enterprise purchases sawn timber and plywood from Caltech Enterprise. The sawn timber and plywood are directly shipped from Caltech Enterprise to the local buyer, Azam Jaya Properties Sdn. Bhd.

The previous assessment was conducted in January 2023 that resulted in 1 gap(s) and 0 observation(s) (Report #: GFSSBH 004-446).

**Associated Organizations & Subcontractors:**

EF Enterprise does not engage other companies as their subcontractors to provide or manufacture products.

**Description of the Supply Chain:**

From December 2022 to November 2023, EF Enterprise purchased raw materials from the suppliers as below:

Supplier	License	Products	Location	GFS WTP # STCC #	Overall Risk *
Caltech Enterprise	Not stated	Sawn timber, Plywood	Kota Kinabalu	-	High

Note: \*GFS risk evaluation is based on the risk matrix for Sabah TLAS (VL) materials & products.

**Summary Results:**

(Major gap = 0, Minor gap = 0, Observation = 0)

GAPs: Year 2023				
GAP #	Type	Checklist	Status	Description
001/2023	minor	6.1.2	Closed	<b>January 2023:</b> A copy of the removal pass was not maintained for an export consignment. <b>December 2023:</b> EF Enterprise has no export consignments from December 2022 to November 2023.

\*Major = Non-Compliance

Observations: Year 2023		
Obs #	Checklist	Description
-	-	-

Highlights of Close-Out Visit (if applicable)	Date: -
Not applicable	

Recommendations
<b>EF Enterprise</b> has demonstrated compliance with the Sabah Standard Principles 5-6 for Chain of Custody and is recommended to receive a GFS Statement of Compliance and Sabah Timber Legality Assurance System Compliance Certificate (TLAS-CC) from SFD.  The next surveillance visit is scheduled for December 2024.

**End of Summary Report**