

## Sabah TLAS Audit Report Principles 5 & 6

<b>Organisation</b>	Serba Potensi Sdn. Bhd. 201701001085 (1215235-U)	<b>Reference #</b>	GFSSBH 004-742
<b>Contact Person</b>	Lum Sek Wey (Director)	<b>Audit Date</b>	28/10/2024
<b>Office Address</b>	No.13A, 13B, 12 – 13, Jalan KKIP Timur, KKIP Timur, 88450 Kota Kinabalu, Sabah, Malaysia.	<b>Date close out</b>	-
<b>Mill Address</b>	No.13A, 13B, 12 – 13, Jalan KKIP Timur, KKIP Timur, 88450 Kota Kinabalu, Sabah, Malaysia.	<b>Telephone</b>	+6011 3157 0764
<b>Email</b>	serbapotensi@gmail.com DFO KK: Aglsmail.AgTuah@sabah.gov.my PPW KK: MohdNasir.AgSuman@sabah.gov.my	<b>Fax</b>	-
		<b>Approved By</b>	Dr. Kevin Grace

### Statements

Statement of Compliance	GFS 232 WTP		
Issuance Date	04 November 2024	Expiration Date	03 November 2025

### Assessment Details

Company Type	Sawmill
Scope of Assessment	Sabah TLAS P5-6: JP(K)KK001/17 (X04)
Product Group	Sawn timber
Source of Material	Sabah, Malaysia
Species	<i>Acacia spp.</i> (Acacia), <i>Albizia falcataria</i> (Albizia), pine, teak, other timber (OT)
Lead Assessor	Brandon James
Assessor(s)	Anis Andrew (Acting Lead Assessor), Evelyn Chong
SFD Staff	Charlene Billy (SFM-FLEGT SFD), Jepri Brahim (PPD KK)

### Terms of Assessment

The scope of the evaluation is to verify compliance with Serba Potensi Sdn. Bhd. to the criteria within the Sabah Timber Legality Assurance System (TLAS) Principle 5 - Mill Operations and Principle 6 - Trade and Customs standards. Compliance is defined when the organization demonstrates that there is no non-compliance to any applicable criteria. Non-compliance to the Sabah Legality Standard occurs when the organization does not comply with 1 or more criteria. Non-compliance must be formally addressed prior to certification or within 2 months following a surveillance assessment.

### Limitations of Assessment

The information presented in this report is based on documentation and other information presented by the client and observations made during the field verification under the assessment. Auditor's reporting of information presented by the client is taken as accurate unless evaluated differently through the observations made during the assessment. All information presented in the report is accurate and complete to the best knowledge of GFS.

**Background Information:**

Serba Potensi Sdn. Bhd. (Serba Potensi) is, on and from 10/01/2017, incorporated under Companies Act 1965, and is registered in Kota Kinabalu, Malaysia, with registration #: 201701001085 (1215235-U). Serba Potensi did not purchase and sell materials; instead, Serba Potensi provides sawmilling services to Innovatory Furniture Sdn. Bhd., World Trend Garden Furniture Sdn. Bhd. and Impian Jadi Resort Sdn. Bhd.

**Associated Organizations & Subcontractors:**

Serba Potensi does not engage other subcontractors to manufacture products.

**Description of the Supply Chain:**

From May 2023 to September 2024, Serba Potensi has not purchased raw materials from any suppliers.

Supplier	License	Raw Material	Location	GFS WTP # STCC #	Overall Risk *
-	-	-	-	-	-

\*GFS risk evaluation is based on the risk matrix for Sabah TLAS (VL) materials & products.

**Summary Results:**

(Major gap = 0, Minor gap = 2, Observation = 1)

GAPs: Year 2024				
GAP #	Type	Checklist	Status	Description
001/2024	minor	5.1.3, 5.2.8	New	<b>October 2024: minor</b> No record and submissions of Appendix 1 and 7 manual submissions to SFD from January 2022 to September 2024.
002/2024	minor	5.3.1, 5.3.2	New	<b>October 2024:</b> No employment agreement for workers and no evidence of COI registration for one worker.

\*Major = Non-Compliance

Observations: Year 2024		
Obs #	Checklist	Description
001-2024	5.3.7	<b>October 2024:</b> No evidence of PPE distribution for mill workers.

Highlights of Close-Out Visit (if applicable)	Date: -
Not applicable	

**Recommendations**

**Serba Potensi Sdn. Bhd.** has demonstrated compliance with the Sabah Standard Principles 5-6 for Chain of Custody and is recommended to receive a GFS Statement of Compliance and Sabah Timber Legality Assurance System Compliance Certificate (TLAS-CC) from SFD.

Gap(s)/Observation(s) identified in this assessment should be addressed before the next surveillance visit. Action required to close the gap(s)/observation(s) include:

**Gap 001/2024 (minor) – Checklist 5.1.3, 5.2.8:** Serba Potensi shall record and submit Appendix 1 & 7 online/manual to the SFD.

**Gap 002/2024 (minor) – Checklist 5.3.1, 5.3.2:** Serba Potensi shall have an evidence of employment agreement with workers and mill worker must register under COI as appropriate.

**Observation 001-2024 – Checklist 5.3.7:** Serba Potensi shall document PPE distribution for mill workers.

The next surveillance visit is scheduled for October 2025.

**End of Summary Report**