

## Sabah TLAS Audit Report Principles 5 & 6

<b>Organisation</b>	Serba Potensi Sdn. Bhd. 201701001085 (1215235-U)	<b>Reference #</b>	GFSSBH 004-877
<b>Contact Person</b>	Mr. Lum Sek Wey (Director)	<b>Audit Date</b>	08/10/2025
<b>Office Address</b>	No.13A, 13B, 12-13, Jalan KKIP Timur, KKIP Timur, 88450 Kota Kinabalu, Sabah, Malaysia.	<b>Date close out</b>	-
<b>Mill Address</b>	No.13A, 13B, 12 – 13, Jalan KKIP Timur, KKIP Timur, 88450 Kota Kinabalu, Sabah, Malaysia.	<b>Telephone</b>	+6016 773 9832
<b>Email</b>	serbapotensikkip@gmail.com DFO KK: ZuridahNorfarizan.Jeragan@sabah.gov.my PPW KK: MohdNasir.AgSuman@sabah.gov.my	<b>Fax</b>	-
		<b>Approved By</b>	Dr. Kevin Grace

### Statements

<b>Statement of Compliance</b>	GFS 232 WTP		
<b>Issuance Date</b>	13 October 2025	<b>Expiration Date</b>	12 October 2026

### Assessment Details

<b>Company Type</b>	Sawmill
<b>Scope of Assessment</b>	Sabah TLAS P5-6: JP(K)KK001/17(X04), FDS(KK)(S)004/2020(M)
<b>Product Group</b>	Sawn timber
<b>Source of Material</b>	Sabah, Malaysia
<b>Species</b>	<i>Acacia spp.</i> (Acacia), <i>Neolamarckia cadamba</i> (Laran), <i>Lauraceae spp.</i> (Medang), <i>Shorea spp.</i> (Red Seraya), <i>Drybalanops spp.</i> (Kapur), <i>Hevea brasiliensis</i> (Rubber wood)
<b>Lead Assessor</b>	Anne Gimbir
<b>Assessor(s)</b>	Eljipy Sualim, Ng Cai Ling
<b>SFD Staff</b>	De-Almeida (ADFO KK), Abu Bakar (PPD KK), Jepri Brahim (PPD KK)

### Terms of Assessment

The scope of the evaluation is to verify compliance with Serba Potensi Sdn. Bhd. to the criteria within the Sabah Timber Legality Assurance System (TLAS) Principle 5 - Mill Operations and Principle 6 - Trade and Customs standards. Compliance is defined when the organization demonstrates that there is no non-compliance to any applicable criteria. Non-compliance to the Sabah Legality Standard occurs when the organization does not comply with 1 or more criteria. Non-compliance must be formally addressed prior to certification or within 2 months following a surveillance assessment.

### Limitations of Assessment

The information presented in this report is based on documentation and other information presented by the client and observations made during the field verification under the assessment. Auditor's reporting of information presented by the client is taken as accurate unless evaluated differently through the observations made during the assessment. All information presented in the report is accurate and complete to the best knowledge of GFS.

**Background Information:**

Serba Potensi Sdn. Bhd. (Serba Potensi) is, on and from 10/01/2017, incorporated under Companies Act 1965, and is registered in Kota Kinabalu, Sabah, Malaysia, with registration #: 201701001085 (1215235-U). Serba Potensi did not purchase, produce or sell material or products; instead, Serba Potensi is leasing its facilities on short term basis to individuals for sawmilling services.

The previous assessment was conducted in October 2024 that resulted in 2 gap(s) and 1 observation(s) (Report #: GFSSBH 004-742).

**Associated Organizations & Subcontractors:**

Serba Potensi does not engage other subcontractors to manufacture products, manpower is provided by Potensi Logistics.

**Description of the Supply Chain:**

From September 2024 to October 2025, Serba Potensi has not purchased raw materials from any suppliers.

Supplier	License	Raw Material	Location	GFS WTP # STCC #	Overall Risk *
-	-	-	-	-	-

\*GFS risk evaluation is based on the risk matrix for Sabah TLAS (VL) materials & products.

**Summary Results:**

(Major gap = 0, Minor gap = 2, Observation = 0)

GAPs: Year 2025				
GAP #	Type	Checklist	Status	Description
001/2024	minor	5.1.3, 5.2.8	Closed	<b>October 2024:</b> No record and submissions of Appendix 1 and 7 manual submissions to SFD from January 2022 to September 2024. <b>October 2025:</b> Appendix 1 and 7 manual submissions for Jan 2022 to Sep 2024 were submitted to the SFD.
002/2024	minor	5.3.2	Outstanding	<b>October 2024:</b> No employment agreement for workers and no evidence of COI registration for one worker. <b>October 2025:</b> No workers under Serba Potensi. However, administrative workers which handle the company's facilities have no COI.
001/2025	minor	5.1.2	New	<b>October 2025:</b> Heavy machineries were expired since 23/11/2024.

\*Major = Non-Compliance

Observations: Year 2025		
Obs #	Checklist	Description
001-2024 Addressed	5.3.7	<b>October 2024:</b> No evidence of PPE distribution for mill workers. <b>October 2025:</b> No workers under Serba Potensi. Thus, no PPE distribution.

Highlights of Close-Out Visit (if applicable)	Date: -
Not applicable	

**Recommendations**

**Serba Potensi Sdn. Bhd.** has demonstrated compliance with the Sabah Standard Principles 5-6 for Chain of Custody and is recommended to receive a GFS Statement of Compliance and Sabah Timber Legality Assurance System Compliance Certificate (TLAS-CC) from SFD.

Gap(s)/Observation(s) identified in this assessment should be addressed before the next surveillance visit. Action required to close the gap(s)/observation(s) include:

**Gap 002/2024 (minor) Outstanding – Checklist 5.3.2:** Workers associated with administration of the facilities should have a COI as stipulated in the license conditions.

**Gap 001/2025 (minor) – Checklist 5.1.2:** Serba Potensi should renew heavy machinery as stipulated in the sawmill license conditions.

The next surveillance visit is scheduled for October 2026.

**End of Summary Report**