

Sabah TLAS Audit Report Principles 5 & 6

Organisation	Uni-Lumber Sdn. Bhd. 199601012704 (385054-D)	Reference #	GFSSBH 004-712
Contact Person	Mr. Francis Loo – Management Representative Jessica Soon – Marketing Manager	Audit Date	06/08/2024
Office Address	Wisma Merdeka, Mailbox No. B191, Lot No. 1328, 13 th Floor, Wisma Merdeka Phase II, Jalan Tun Razak, 88000 Kota Kinabalu, Sabah, Malaysia.	Date close out	-
Mill Address	-	Telephone	+6088 269 499
Email	unilumber@yahoo.com DFO KK: Aglsmail.AgTuah@sabah.gov.my PPW KK: MohdNasir.AgSuman@sabah.gov.my	Fax	+6088 265 979
		Approved By	Dr. Kevin Grace

Statements

Statement of Compliance	GFS 233 WTP		
Issuance Date	12 August 2024	Expiration Date	11 August 2025

Assessment Details

Company Type	Exporter, Importer
Scope of Assessment	Sabah TLAS P5-6: FDS(KK)(E)102/2019, FDS(KK)(I)032/2019
Product Group	Sawn timber
Source of Material	Sabah, Malaysia.
Species	<i>Shorea spp.</i> (Red seraya, Yellow seraya), <i>Shorea inappendiculate</i> (Selangan batu), <i>Dipterocarpus spp.</i> (Keruing), <i>Dryobalanops spp.</i> (Kapur), <i>Shorea pauciflora</i> (Oba Suluk)
Lead Assessor	Glory Linggou
Assessor(s)	Brandon James, Anis Andrew
SFD Staff	Welfred Wihimos (PPD Kota Kinabalu)

Terms of Assessment

The scope of the evaluation is to verify compliance with Uni-Lumber Sdn. Bhd. to the criteria within the Sabah Timber Legality Assurance System (TLAS) Principle 5 - Mill Operations and Principle 6 - Trade and Customs standards. Compliance is defined when the organization demonstrates that there is no non-compliance to any applicable criteria. Non-compliance to the Sabah Legality Standard occurs when the organization does not comply with 1 or more criteria. Non-compliance must be formally addressed prior to certification or within 2 months following a surveillance assessment

Limitations of Assessment

The information presented in this report is based on documentation and other information presented by the client and observations made during the field verification under the assessment. Auditor's reporting of information presented by the client are taken as accurate, unless evaluated differently through the observations made during the assessment. All information presented in the report is accurate and complete to the best of knowledge of GFS.

Background Information:

Uni-Lumber Sdn. Bhd. (Uni-Lumber) is, on and from the 24/04/1996, incorporated under the Companies Act 1965, in Kuala Lumpur with registration number 199601012704 (385054-D). Uni-Lumber has a certificate under FSC with Certificate #: SGSHK-COC-450015 (FSC-C124512), expiry date of 24/02/2025 and a COC-Multisite under the PEFC with certificate #: SGSMTCS-COC-0127 (SGS-MTCS/COC-0127), expiry date of 06/12/2024.

Uni-Lumber is an importer and exporter with no processing facilities. The company has not imported or purchased raw material and only forwarded the Sales Contract (*indent*) to its associated companies, Irama Ramai, Gold Rank and KD's provider, Carl Ronnow Logistics for processing materials. The finished goods were directly shipped to the buyer in Europe (Belgium and the Netherlands).

Associated Organizations & Subcontractors:

Uni-Lumber owns Irama Ramai Sdn. Bhd. (Irama Ramai) located in Keningau and Gold Rank Sdn. Bhd. (Gold Rank) located in Tawau. Uni-Lumber engaged Carl Ronnow Logistics Sdn. Bhd. located in Kota Kinabalu, Sabah, to provide Kiln-Dry (KD) service and RM Services to handle the export & import documentation.

Description of the Supply Chain:

From April 2023 to July 2024, Uni-Lumber forwarded the sales contract (indent) for processing to the companies as below:

Company	License	Raw Material	Location	GFS WTP # STCC #	Overall Risk *
Irama Ramai Sdn. Bhd.	JP(N)KG001/12(X04)	Sawn timber	Keningau	GFS 110 WTP (17/09/2024)	Low
Gold Rank Sdn. Bhd.	JP(T)TW001/10(X04)	Sawn timber	Tawau	GFS 010 WTP (25/06/2024)	Low
Carl Ronnow Logistics Sdn. Bhd.	JP(K)KK 005/11(X22)	Sawn timber	Kota Kinabalu	GFS 073 WTP (15/10/2024)	Low

*GFS risk evaluation is based on the risk matrix for Sabah TLAS (VL) materials & products.

Summary Results:

(Major gap = 0, Minor gap = 3, Observation = 1)

GAPs: Year 2024				
GAP #	Type	Checklist	Status	Description
001/2024	minor	5.2.4	New	August 2024: Removal Passes were not consistently stamped as "Used" by the SFD.
002/2024	minor	5.3.1 5.3.2	New	August 2024: Workers have no employment agreement.
003/2024	minor	5.3.5	New	August 2024: Inconsistent in contributing SOCSO and EPF for workers.

*Major = Non-Compliance

Observations: Year 2024		
Obs #	Checklist	Description
001-2024	6.3.5 6.3.6	August 2024: SFD and Uni-Lumber have yet to implement the Sabah TLAS EUDR to include geo-location.

Highlights of Close-Out Visit (if applicable)	Date: -
Not applicable	

Recommendations

Uni-Lumber Sdn. Bhd. has demonstrated compliance with the Sabah Standard Principles 5-6 for Chain of Custody and is recommended to receive a GFS Statement of Compliance and Sabah Timber Legality Assurance System Compliance Certificate (TLAS-CC) from SFD.

Gap(s)/Observation(s) identified in this assessment should be addressed before the next surveillance visit. Action required to close the gap(s)/observation(s) include:

Gap 001/2024 (minor) – Checklist 5.2.4: SFD shall ensure the removal pass is stamped as “Used.”

Gap 002/2024 (minor) – Checklist 5.3.1, 5.3.2: Uni-Lumber should provide an employment agreement for all workers.

Gap 003/2024 (minor) - Checklist 5.3.5: Uni-Lumber shall ensure contributions for SOCSO and EPF are current for all workers.

Observation 001-2024 – Checklist 6.3.5, 6.3.6: SFD and Uni-Lumber shall implement the geo-location on the Removal Pass and Sabah TLAS EUDR products.

The next surveillance visit is scheduled for August 2025.

End of Summary Report